

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James R. Baker, Jr. et al.

Serial No.:

09/751,059 12/29/00

Group No.: Examiner:

Filed: Entitled:

**Antimicrobial Compositions And Methods Of Use** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

**Assistant Commissioner for Patents** Washington, D.C. 20231

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Dated: February 1, 2005

Mary Ellen Waite

Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

Applicants believe no fee is required but if the Commissioner deems otherwise, the Commssioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: February 1, 2005

David A. Casimir Registration No. 42,395

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105

608/218-6900

PATENT
Attorney Docket No. UM-04491

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James R. Baker, Jr. et al.

Serial No.:

09/751,059

Group No.: 1615

Filed:

12/29/2000

Examiner: Fubara

Entitled:

**Antimicrobial Compositions And Methods Of** 

Use

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)**

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By: \_\_\_\_(

Mary Ellen Waite

#### Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,405,604 to Hall on 04/11/1995
- U.S. Patent No. 5,651,959 to Hill *et al.* on 07/29/1997
- U.S. Patent No. 5,656,280 to Herb *et al.* on 08/12/1997
- U.S. Patent No. 6,015,832 to Baker, Jr., et al. on 01/18/2000
- U.S. Patent No. 6,117,415 to Schwarz on 09/12/2000

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: February 1, 2005

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/751,059			
Filing Date	12/29/00			
First Named Inventor	Baker, Jr.			
Art Unit	1615			
Examiner Name	Fubara			
Attorney Docket Number	UM-04491			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,405,604	04/11/1995	Hall	
	2	<sup>US-</sup> 5,651,959	07/29/1997	Hill et al.	
	3	<sup>US-</sup> 5,656,280	08/12/1997	Herb et al.	
	4	<sup>US-</sup> 6,015,832	01/18/2000	Baker, Jr., et al.	
	S	<sup>US-</sup> 6,117,415	09/12/2000	Schwarz	
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		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Cite No.1		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Traislation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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